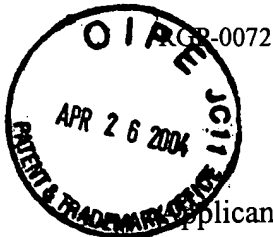


*Emer*

1752



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bruce B. Fitts, et al. )  
Serial No.: 10/053,346 ) Group Art Unit: 1752  
Filing Date: 01/18/2002 ) Examiner: Hoa Van Le  
For: APPARATUS AND METHOD FOR )  
ELECTROCHEMICAL CELL )  
COMPONENTS )

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

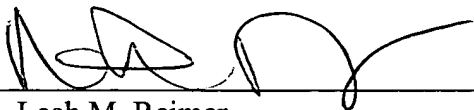
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: 

Leah M. Reimer  
Registration No. 39,341

Date: April 22, 2004  
Customer No. 23,413  
(860) 286-2929

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**RGP-0072**

In Re Application Of: **Bruce B. Fitts, et al.**

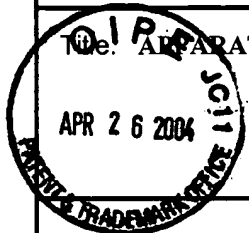
Serial No.  
**10/053,346**

Filing Date  
**01/18/2002**

Examiner  
**Hoa Van Le**

Group Art Unit  
**1752**

Title: **APPARATUS AND METHOD FOR ELECTROCHEMICAL CELL COMPONENTS**



Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
RGP-0072

In Re Application: **Bruce B. Fitts, et al.**

Serial No.

10/053,346

Filing Date

01/18/2002

Examiner

Hoa Van Le

Group Art Unit

1752

**APPARATUS AND METHOD FOR ELECTROCHEMICAL CELL COMPONENTS**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **06-1130** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **April 22, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

Laura J. Nolan

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

  
Signature

Dated: **April 22, 2004**

Leah M. Reimer  
Reg. No. 39,413  
Customer No. 23,413  
(860) 286-2929

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**RGP-0072**

In Re Application Of: **Bruce B. Fitts, et al.**

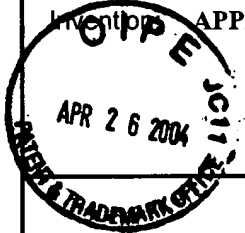
Serial No.  
**10/053,346**

Filing Date  
**01/18/2002**

Examiner  
**Hoa Van Le**

Group Art Unit  
**1752**

Invention: **APPARATUS AND METHOD FOR ELECTROCHEMICAL CELL COMPONENTS**



**TO THE COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

A handwritten signature in black ink, appearing to be "Leah M. Reimer".

*Signature*

Dated: **April 22, 2004**

**Leah M. Reimer  
Reg. No. 39,341  
Customer No. 23,413  
(860) 286-2929**

**Certificate of Mailing by First Class Mail**

I certify that this document is being deposited on  
**April 22, 2004** with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

A handwritten signature in black ink, appearing to be "Laura J. Nolan".

*Signature of Person Mailing Correspondence*

**Laura J. Nolan**

*Typed or Printed Name of Person Mailing Correspondence*

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Bruce B. Fitts, et al.**

Docket No.

**RGP-0072**

Serial No.

**10/053,346**

Filing Date

**01/18/2002**

Examiner

**Hoa Van Le**

Group Art Unit

**1752**Invention: **APPARATUS AND METHOD FOR ELECTROCHEMICAL CELL COMPONENTS**

I hereby certify that this **Trans. of IDS (3 pgs), IDS (1 pg), PTO-A820 (2 pgs), Cited art (10 refs), Cert. of mailing,**  
(Identify type of correspondence) **postcard**

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

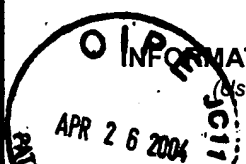
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **April 22, 2004**  
(Date)

**Laura J. Nolan**

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**

 <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	ATTY DOCKET NO. <b>RGP-0072</b>	SERIAL NO. <b>10/053,346</b>
	<b>Bruce B. Fitts et al.</b>	
	FILING <b>01/18/2002</b>	GROUP <b>1752</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	5 565 072	10/15/1996	Faita et al			
✓	5 750 197	05/12/1998	van Ooij et al.			
✓	6 103 413	08/15/2000	Hinton et al.			

**FOREIGN PATENT DOCUMENTS**


		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	✓	EP 0 975 039 A2	26.01.2000	Europe				
	✓	EP 1 035 608 A2	13.09.2000	Europe				
	✓	EP 1 107 340 A2	13.06.2001	Europe				
	✓	EP 1 227 531 A1	31.07.2002	Europe				
	✓	WO 00/63303	26.10.2000	International				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

			International Search Report dated 15/03/2004 – International Application No. PCT/US02/19875, International Filing Date 18/01/2002

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. <b>RGP-0072</b>	SERIAL NO. <b>10/053,346</b>
	<b>Bruce B. Fitts et al.</b>	
	FILING <b>01/18/2002</b>	GROUP <b>1752</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		✓ WO 01/18895 A1	15.03.2001	International				✓

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.